

Appendix A TABLES

1. Purpose.

This appendix provides general tables as listed below:

Main Body of Appendix A	Tables
Table A-1	QCP Requirements
Table A-2	Approval Authorities Delegated to CESPD
Table A-3	Quality Control Certification Requirements
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2. Applicability.

This appendix supplements the guidelines provided in the main body of the South Pacific Division Quality Management Plan and applies to all activities of CESPD and its Districts.

**TABLE A-1
QCP REQUIREMENTS**

The following is a list of projects/products produced in the Civil Works, MILCON and HTRW Programs and is not necessarily all-inclusive. Next to each product is the suggested QCP type for that product. However, the QCP type used for an actual product must be tailored to the unique characteristics of the product and may differ from the suggestions on this list. All technical products shall require use of a QCP (individual, generic or programmatic), except those indicated as NR (NR = QCP not required). Districts may wish to develop an individual QCP in lieu of using a generic or programmatic QCP for the requirements of products not covered under the latter plans. Specific details of QCP submittal requirements are addressed in the main body and subplans of the QMP.

TABLE A-1 QCP REQUIREMENTS		
DOCUMENT TYPE	INDIVIDUAL	GENERIC/ PROGRAMMATI C
DECISION DOCUMENTS		
General Investigations - Reconnaissance Report	X	
General Investigations - Expedited Reconnaissance Rpt		X
General Investigations - Feasibility Report	W/I PMP	
General Reevaluation Report	W/I PMP	
Limited Reevaluation Report	X	
Post Authorization Change Report	X	
Major Rehabilitation Evaluation Report	X	
Dam Safety Evaluation Report	X	
Dredged Material Management Plan	X	
Section 933 - Beneficial Use of Dredged Material	X	
Section 934 - Extension to Existing Shoreline Protection Project	X	
PL 84 - 99 Rehabilitation Report		X
Cost Allocation Report	X	
Real Estate Design Memorandum (REDM)	X	
IMPLEMENTATION DOCUMENTS		
Design Documentation Report (DDR)	W/I PMP	
Feature Design Memorandum	W/I PMP	
Plans & Specifications - Civil Works < \$ 500,000		X
Plans & Specifications - Civil Works > \$ 500,000	X	
Plans & Specifications - MILCON < \$ 1,000,000		X
Plans & Specifications - MILCON > \$ 1,000,000	X	
HTRW < \$ 2,000,000		X
HTRW > \$ 2,000,000	X	

TABLE A-1 QCP REQUIREMENTS		
DOCUMENT TYPE	INDIVIDUAL	GENERIC/ PROGRAMMATI C
Design Analysis Report	X	
Hydrologic & Hydraulic Studies (Non-project Specific) < \$ 150,000		X
Hydrologic & Hydraulic Studies (Non-project Specific) > \$ 150,000	X	
Water Control Plans and Manuals		X
CONTINUING AUTHORITIES PROGRAM		
Section 14 Planning and Design Analysis		X
Sections 103, 107 and 111 DPR		X
Section 204 Initial Appraisal		X
Section 204, 205 and 208 DPR		X
Section 1135 and 206 PRP		X
Section 1135 and 206 DPR		X
OTHER DOCUMENTS		
Planning Assistance to State Report	X	
Floodplain Management Study Report	X	
Environmental Assessment/FONSI	X	
EIS (Standalone)	X	
PMPs, FCSAs, PCAs, PED Agreements, MOUs, MOAs, etc.		X

TABLE A-2
APPROVAL AUTHORITIES DELEGATED TO CESPD

Approval authority for the following programs and/or documents resides within CESPD. In some cases, approval authority has been delegated to the Districts, but the policy review and quality assurance role remains in CESPD. Delegated approval authority for a particular activity or project may be rescinded by HQUSACE at their discretion. The most current regulation for the particular program/activity should be referred to for additional details. The following table lists documents in this category but it should not be considered all-inclusive:

DOCUMENT TYPE	NOTES:
DECISION DOCUMENTS:	
PL 84-99 Rehabilitation Reports	
Dredged Material Management Plans	
IMPLEMENTATION DOCUMENTS:	
Continuing Authorities Program (CAP) Design Analysis Reports: (Section 14, 103, 107, 111, 205, 208)	Per 16 Jun 95 HQUSACE guidance, primarily all actions are delegated to Division. See EC 1105-2-211 for details.
Sections 1135 and 206 PRP and DPR	See details in EC 1105-2-206.
Section 204, Initial Appraisal and DPR	See dollar limitations in EC 1105-2-209.
Water Control Plans, Manuals, and Deviations	Per ER 1110-2-1400 dated 30 Sep 93, Para 6.
OTHER DOCUMENTS:	
Section 22, Planning Assistance to States	
Floodplain Management Services Study Reports	
Project Cooperation Agreements	If consistent with models.
PED Agreements	If consistent with models.
O&M REPORTS:	
Water Quality Management Plans	
O&M Manuals	
Master Plan and Amendments	

TABLE A-3 QUALITY CONTROL CERTIFICATION REQUIREMENTS^{1/}		
ITEM	CERTIFICATION BY	
	DISTRICT COMMANDER	RESPONSIBLE FUNCTION CHIEF ^{3/}
Projects Approved by CESPD or HQ	X	
Projects Approved by District	Varies By Program	
Interim (Milestone and Draft Products)		X
Decision Documents (Draft to HQ) ^{6/}		X
Decision Documents (Final) ^{6/}	X	
Final EIS (Standalone)	X	
CAP Reports (> \$6 million)	X	
CAP Reports (< \$6 million)		X
Sec 22 PAS Reports		X
FPMS Reports		X
Interim (Milestone) Products		^{4/}
Expedited Recon (905b Rpt and PMP)		X
Design Documentation Reports	X	
PL 84-99 Rehabilitation Rpts	X	
Products Approved by District	X	
Water Control Manuals		X
O&M Manuals	X	
Dam Safety & Related Reports	X	
HTRW Projects >\$ 2 million	X	
HTRW Proj < \$2 mil (Generic QCP)		X
CW P&S > \$500k	X	
CW P&S < \$500k (Generic QCP)		X
MIL P&S > \$1 mil	X	

TABLE A-3 QUALITY CONTROL CERTIFICATION REQUIREMENTS^{1/}		
ITEM	CERTIFICATION BY	
	DISTRICT COMMANDER	RESPONSIBLE FUNCTION CHIEF^{3/}
MIL P&S < \$1 mil (Generic QCP)		X
H&H Studies (Generic QCP)		X
DD1391 Forms		5/
Interim (Milestone and Draft) Products		4/
PM Products:		
PM Products (FCSAs, PCAs, PED Agreements, etc.)		2/
NOTES: 1/ - See Main Body and Individual Subplans of QMP for specific requirements. 2/ - Single Reviewer experienced in development of this product; Responsible Function Chief <input type="checkbox"/> certifies either in transmittal letter to higher authority or in memo placed in project file. 3/ - Responsible Function Chief normally will be a Division Chief at the District. 4/ - ITRT Leader ensures that all comments are resolved in a timely manner after the respective milestone 5/ - SPD has final QC responsibility for these products 6/ - Includes Decision Documents developed after Project Authorization		

TABLE A-4 SAMPLE DISTRICT CMR - QMI REPORT		
QMI REPORT FOR SPX DISTRICT (FY03 – 1st QTR) 31 Dec 02		
TECHNICAL ELEMENT	HOW MANY REQUIRE QCP	HOW MANY WITH APPROVED QCP
ENGINEERING		
Dam Safety Evaluation Reports	3	2
Design Memorandums	8	8
P & S - CW	12	7
P & S - Mil and SFO	23	0
HTRW	12	0
Generic QCP	6	3
ENGINEERING SUBTOTAL	64	20
Percentage		31%
CONSTRUCTION –OPERATIONS		
Regulatory	1	1
Construction QAPs	75	75
CON-OPS SUBTOTAL	76	76
Percentage		100%
PLANNING		
Feasibility	8	7
Reconnaissance	13	10
Special Study	2	1
Planning Assistance	0	0
Ecosystem Restor Report	8	4
PLANNING SUBTOTAL	31	22
Percentage		71%
REAL ESTATE		
REAL ESTATE SUBTOTAL	4	4
Percentage		100%
DISTRICT TOTAL		
	175	122
Percentage		70%

Current District QMP approved Jun01*; next update scheduled for Jun02.